

eTools ref no	ECU/PCA202371/PD2023168							
Document Type	Programme Document							
UNICEF Office	Quito							
Organization Name	FACULTAD LATINOAMERICANA DE CIENCIAS SOCIALES FLACSO							
Programme Title	Implementación de un modelo de gestión local para aportar a la estrategia de reducción de la desnutrición crónica infantil en parroquias y cantones seleccionados de la provincia de Chimborazo.							
Planned duration	Start date: Sept. 1, 2023 End date: Sept. 30, 2024							
Geographical coverage	Ecuador: ALAUSI, ACHUPALLAS, ALAUSI, SIBAMBE, TIXAN, RIOBAMBA, LICAN, RIOBAMBA							
Budget	UNICEF Cash:	307.032.22	Supplies:	0.00	Capacity Strengthening Costs:	20.086.22	Total:	307.032.22
	Partner Cash:	77.800.00	Supplies:	0.00			Total:	77.800.00
Total	Currency:	USD					Total:	384.832.22

Strategy



Correspondencia

Factura

Anexos: SI NO

Detalle:

Recibido por: Isabel Burgos

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Observaciones:

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Strategy

Context:

Los países latinoamericanos enfrentan el problema de la doble carga de la malnutrición, por un lado no han terminado de erradicar la desnutrición infantil y por otro, enfrentan el sobrepeso y obesidad infantil. La CEPAL (2017), ha hecho énfasis en que la debilidad de las políticas públicas de los países para enfrentar estos desafíos, profundiza el problema.

A pesar de que en el Ecuador, el porcentaje de desnutrición crónica infantil en menores de 5 años ha disminuido en las últimas décadas, esta reducción ha sido insuficiente; según la encuesta

ENSANUT 2018 la desnutrición crónica infantil (DCI) en menores de 5 años disminuyó de 25,3% en 2014 a 23% en 2018, es decir, casi un cuarto de la población ecuatoriana tiene desnutrición, lo que supone un impacto negativo en el desarrollo integral por la carencia de nutrientes esenciales de estos niños y niñas. Esto se traduce en un crecimiento sin oportunidades de desarrollo adecuadas que se relacionan, no solamente al estado nutricional deficiente, sino también a los determinantes sociales que inciden

y explican que la mayor prevalencia se ubique en el área rural y en las regiones de la Sierra centro del país. Para el 2014, la desnutrición en niños indígenas alcanzaba el 90% de la población, lo que devela la inequidad de este problema y los determinantes sociales asociados. La provincia del

Chimborazo cuya población rural representa el 57% de la población total (INEC 2019), reporta un 39,3% de prevalencia de desnutrición crónica en menores de 2 años, ubicándose solamente después de la provincia de Tungurahua (ENSANUT 2018-2019). Los datos proyectados plantean que para el año 2020 existían 7726 niños y niñas menores de 2 años con DCI (INEC 2019).

Al ser un problema multicausal, al que subyace la dificultad para garantizar la seguridad alimentaria de los y las niñas, el abordaje de la DCI implica varios aspectos, como el acceso a agua y saneamiento, acceso a prestaciones de salud inherentes al problema y prestaciones de protección social y cuidados de la primera infancia. Muchos autores señalan como la ventana de oportunidad el periodo de gestación y los dos primeros años de vida, conocidos como los primeros 1000 días, para implementar intervenciones que prevengan la desnutrición.

Dichas intervenciones o programas sensibles a la nutrición (The Lancet 2013) deben abordar los determinantes inmediatos de la nutrición y el desarrollo infantil, en donde se incluye: ingesta adecuada de alimentos y nutrientes, alimentación, prácticas de cuidado y crianza de las y los niños, y baja carga de enfermedades infecciosas. Por otro lado, la articulación y coordinación institucional para garantizar las intervenciones, pero también para identificar los cuellos de botella en la gestión misma de las prestaciones, son centrales dada la poca capacidad y calidad de la oferta de servicios de primera infancia orientados a prevenir y erradicar la DCI presenta importantes desafíos, especialmente en el MSP.

Implementation Strategy & Technical Guidance:

Las herramientas metodológicas que se proponen para la implementación de cada componente del proyecto, serán aplicadas por parte del equipo técnico de esta propuesta. Para ello, lo primero que se realizará es un mapeo de actores locales (institucionales, comunitarios y otros) y los roles que cumplen o potencialmente pueden cumplir en acciones a favor del combate a la DCI.

Con relación al primer componente (mesas intersectoriales), la herramienta para mejorar la salud y nutrición de niños y de mujeres gestantes. A partir de este, se verificará primeramente en qué parroquias ya existen mesas intersectoriales parroquiales (MIP) conformadas y en dónde hace falta iniciar con este proceso. Esto se realizará mediante la identificación de actores comunitarios e institucionales, la valoración política e incidencia de los mismos que permitan la elaboración de diagnósticos integrales que potencien y den sostenibilidad a los espacios. Por otro lado, se busca realizar procesos de abogacía para posicionar a la problemática en las agendas locales de los GAD parroquiales y con ello el liderazgo de estos a mediano plazo, dado que se asume a los GAD parroquiales como los actores clave para el funcionamiento, dinamización y sostenibilidad de los espacios de articulación. Adicionalmente, el acompañamiento técnico permitirá la implementación participativa de los modelos de gestión y las 13 herramientas metodológicas que garantizarán el seguimiento e institucionalización de las MIP. En cuanto al nivel cantonal, se espera acompañar la articulación entre este nivel y el parroquial para dinamizar, no solo el diálogo, sino la resolución de las problemáticas que se detecten en las MIP que requieren intervención de la Mesa Intersectorial Cantonal (MIC). Por su parte, el componente 2 establece la formación de vigilantes epidemiológicos comunitarios y su activación en las parroquias de intervención, con la finalidad de que adquieran el conocimiento y la práctica para la identificación dentro de sus comunidades de determinantes y factores de riesgo de la desnutrición crónica infantil; la captación oportuna y seguimiento a las gestantes, niñas y niños con respecto a las prestaciones del paquete priorizado; todo ello en articulación con los servicios de salud del primer nivel de atención (PNA). Con ese objetivo, se propone por un lado formar a tutores/as del propio entorno comunitario de ser posible, para llevar a cabo los procesos de formación requeridos. Además se propone que, a partir de los espacios ya creados, los Comités Locales de Salud por ejemplo, identificar potenciales personas voluntarias de las comunidades y aplicar el modelo de vigilancia epidemiológica comunitaria con énfasis en DCI, trabajado por UNICEF en apoyo al MSP.

Con relación a los ciclos de mejoramiento continuo, primeramente se verificará si los establecimientos de salud (ES) seleccionados, cuentan con equipos de mejora continua para combatir la DCI (EMC-DCI), para conformarlos de ser el caso y acompañarlos en la aplicación de la HFCAPP y los Planes de Mejora continua. Se dará seguimiento a las actividades de estos Planes y se organizarán ciclos de fortalecimiento de capacidades del personal de salud, a partir del material proporcionado por UNICEF.

Finalmente, el componente sobre la estrategia de comunicación para el cambio social y de comportamiento (SBC) (STECSDI 2022), propone una intervención con impacto, es decir, una que pueda contrastar el logro de cambios de comportamiento de un tiempo a un tiempo b. Ello requiere un abordaje estratégico que parta de un ejercicio diagnóstico que identifique y contextualice las barreras y facilitadores relacionados con la DCI. A su vez, dichos resultados permiten elaborar participativamente un diseño estratégico de las líneas de intervención en donde se precise la problemática y población objetivo, las líneas estratégicas de acción, los medios y canales de comunicación, y los modos de participación y movilización social. Todo ello deriva en los planes locales de SBC, los que suponen una construcción colaborativa de los mensajes y materiales comunicacionales, validados con los actores con el fin de asegurar su pertinencia y eficacia. Con el monitoreo a la implementación, se aseguran correctivos y ajustes, para concluir en un nuevo ejercicio que contraste los valores iniciales con los finales, para identificar los cambios de comportamientos en la crianza de la primera infancia (STECSDI 2021).

<p>Capacity Development:</p> <p>La Facultad Latinoamericana de Ciencias Sociales - FLACSO, es una Universidad internacional de posgrados que genera investigación y difusión de conocimiento en un rol central con el desarrollo y el fortalecimiento de la investigación y la enseñanza no solo a nivel del país sino también de la región. En ese sentido, ha impulsado y desarrollado investigación respecto a las problemáticas más acuciantes del país, como la desnutrición crónica infantil.</p> <p>Asimismo, el Laboratorio de Políticas Comparadas, que colabora en el programa, ha asesorado con su contingente profesional a instituciones públicas, organismos internacionales y carteras de estado para mejorar los procesos de toma de decisión y definición de políticas públicas locales. En esa línea, existe una coincidencia territorial para el trabajo que desde FLACSO y concretamente el Laboratorio de Políticas Comparadas se busca realizar, con fondos institucionales propios, en materia de fortalecimiento de capacidades a los actores políticos locales (provincia Chimborazo y cantón Alausí) durante el mismo periodo de tiempo.</p> <p>Por otro lado, FLACSO, a través de la Coordinación de Vinculación ha abierto la primera convocatoria de proyectos emblemáticos de vinculación con la sociedad para el periodo 2023-2025. En esa línea, desde el Laboratorio de Políticas Comparadas, se ha generado experiencia profesional alrededor de la problemática de desnutrición crónica infantil, que interesa afianzar a través de estos fondos propios; los mismos que se encuentran valorados financieramente como contraparte institucional, dentro de esta propuesta. Asimismo, ha firmado un Convenio de cooperación interinstitucional con la Universidad Nacional de Chimborazo (UNACH), con la finalidad de consolidar acciones conjuntas no solo académicas sino de vinculación con las localidades de la Provincia, en distintas áreas. Finalmente, FLACSO y el GAD Alausí han expresado su intención de colaboración en diversos ámbitos, entre los cuales se encuentra el fortalecimiento de capacidades locales. Todo ello, lo configura como un candidato idóneo para llevar a cabo esta nueva intervención como socio implementador de UNICEF.</p>	<p>Other Partners involved:</p> <p>Como actores clave para la implementación de la actuación se han identificado los siguientes:</p> <ol style="list-style-type: none"> 1. STECSDI. 2. MSP y Gerencia institucional para el fortalecimiento de la primera infancia / Estrategia Ecuador Crece Sin Desnutrición. 3. MIES. 4. Mesa intersectorial para combatir la DCI, Riobamba. 5. Concejo cantonal de protección de derechos, Riobamba. 6. Concejo cantonal de protección de derechos, Alausí. 7. Escuela Superior Politécnica de Chimborazo (ESPOCH). 8. Universidad Nacional de Chimborazo (UNACH). 9. Organismos de cooperación. 	<p>Other Document Details:</p> <p>El equipo profesional que lidera esta propuesta ha trabajado previamente con UNICEF, a través de dos socios implementadores (ESPOCH y FLACSO), durante los años 2021, 2022 y lo que va del 2023. En estas anteriores iniciativas, se ha capitalizado experiencia técnica relevante alrededor de los objetivos que impulsa la Estrategia Nacional Ecuador crece sin Desnutrición Crónica Infantil, con enfoque en posicionar el paquete priorizado de servicios para combatir la DCI.</p> <p>Data Processing Agreement: Yes</p> <p>Activities involving children and young people: Yes</p> <p>Special Conditions for Construction Works by Implementing Partners: No</p>
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<p>Gender Rating: None</p> <p>Se procurará desarrollar la intervención desde un enfoque de igualdad de género. Con respecto a las herramientas metodológicas cualitativas (ej. grupos focales) y en el seguimiento a los servicios de desarrollo infantil, el acompañamiento a su implementación tendrá que ser capaz de identificar posibles patrones culturales que inciden en la explicación de la DCI, a fin de visibilizar y reconocer el trabajo de cuidados de las mujeres rurales que son la base sobre la cual se sostienen y reproducen la vida y la economía misma.</p>
<p>Equity Rating: None</p> <p>Un enfoque integral de la DCI, implica intervenciones o programas que aborden los determinantes subyacentes de la nutrición y el desarrollo infantil, como seguridad alimentaria, recursos adecuados para la prestación de cuidados a nivel materno, familiar y comunitario. Además, el acompañamiento del socio implementador para los servicios del paquete priorizado, debe abordar consideraciones de género para alertar, en donde sea pertinente, la necesaria participación de los padres de niños/as menores de 2 años. El objetivo será que en la medida de lo posible, se incluyan y mantengan informados todos los miembros del núcleo familiar, para mejorar la información sobre servicios públicos, medidas y acciones que las familias tienen a su disposición en materia de prevención de la DCI de los/as niños/as miembros del hogar.</p>
<p>Sustainability Rating: None</p> <p>El trabajo que se llevará a cabo con los actores locales para la implementación del programa, permitirá que los y las participantes se capaciten en las metodologías del proyecto y puedan desarrollarlas de forma autónoma una vez finalizada la intervención. Asimismo, como parte de la estrategia de salida, se prevé la firma de acuerdos y compromisos por parte de los participantes, para contribuir así a la sostenibilidad de las acciones.</p>
<p>Risk & Proposed Mitigation Measures:</p> <p>Political: El trabajo conjunto con las autoridades locales durante la implementación del proyecto permitirán mitigar cualquier incidencia que pueda traer el cambio en el escenario político nacional.</p> <p>Operational: la aplicación del ciclo de mejora está enfocado al personal de planta y al más antiguo del establecimiento de salud, por lo que se mitigará la alta rotación del personal de salud rural en los E.S del primer nivel.</p> <p>Social & Environmental: La permanente coordinación con las autoridades locales y comunitarias garantizará el adecuado acercamiento y aceptación de las poblaciones beneficiarias directas e indirectas, para facilitar la participación activa de éstas.</p>

Workplan Result

Result statement	Performance indicator/s	Location	Baseline	Target	Means of Verification
ACCESS TO H&N SERVICES	Number of primary caregivers of children aged 0-23 months who received counselling on IYCF (04-01-L3-15)				
Fortalecidos los procesos de articulación intersectorial para mejorar la salud y nutrición de niños y niñas en la primera infancia y de mujeres gestantes	Mesas Intersectoriales Parroquiales conformadas y en funcionamiento	ALAUSI, RIOBAMBA	Unknown	18	Documento de sistematización del proceso de conformación, seguimiento, asistencia técnica y monitoreo de sostenibilidad de las Mesas Intersectoriales Parroquiales
Voluntarios/as en vigilancia epidemiológica de base comunitaria capacitados y activados para la reducción de la desnutrición crónica infantil	Número mínimo de personas voluntarias activadas como vigilantes comunitarios	ALAUSI, RIOBAMBA	Unknown	80	Documento de sistematización del proceso de acompañamiento, asistencia técnica y fortalecimiento de las capacidades de vigilantes epidemiológicos comunitarios y personal de salud

<p>Aplicados ciclos de mejora continua de la calidad de la prestación del paquete priorizado para prevenir la DCI y fortalecidas las capacidades del personal de salud en I1 E.S.</p>	<p>Ciclos de mejora aplicados</p>	<p>ALAUSSI, RIOBAMBA</p>	<p>Unknown I1</p>	<p>Documento de sistematización de: a) la aplicación de los ciclos de mejora continua de la calidad de la prestación del paquete priorizado para prevenir la DCI; b) proceso de capacitación y fortalecimiento del personal de salud</p>
	<p>profesionales sanitarios con capacidades fortalecidas</p>	<p>ALAUSSI, RIOBAMBA</p>	<p>Unknown,55</p>	<p>Documento de sistematización de: a) la aplicación de los ciclos de mejora continua de la calidad de la prestación del paquete priorizado para prevenir la DCI; b) proceso de capacitación y fortalecimiento del personal de salud</p>

Implementada la estrategia de comunicación para el cambio social y de comportamiento (SBC) en dos cantones y ocho parroquias	Planes locales SBC elaborados participativamente e implementados	ALAUZI, RIOBAMBA	Unknown	Documento de sistematización sobre el proceso de implementación y el efecto de los planes locales SBC
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Workplan Budget

Result Level	Result/activity	Timeframe	Total (CSO + UNICEF)[USD]	CSO contribution	UNICEF contribution
Prog. Output	ACCESS TO H&N SERVICES Fortalecidos los procesos de articulación intersectorial para mejorar la salud y nutrición de niños y niñas en la primera infancia y de mujeres gestantes		47,430.00	8,000.00	39,430.00
Activity	Elaborar el diagnóstico sobre el estado de las mesas intersectoriales parroquiales y cantonales	2023 Q1, 2023 Q2	23,240.00	4,000.00	19,240.00
Activity	Realizar el acompañamiento y asistencia técnica a las mesas intersectoriales parroquiales y cantonales	2023 Q2, 2024 Q3, 2024 Q4	24,190.00	4,000.00	20,190.00
Prog. Output	ACCESS TO H&N SERVICES Voluntarios/as en vigilancia epidemiológica de base comunitaria capacitados y activados para la reducción de la desnutrición crónica infantil		87,760.00	16,000.00	71,760.00
Activity	Identificar, seleccionar y capacitar a las personas que ejercerán como voluntarias de vigilancia epidemiológica con énfasis en la DCI	2023 Q1, 2023 Q2	38,980.00	8,000.00	30,980.00
Activity	Acompañamiento, asistencia técnica y fortalecimiento de las capacidades de vigilantes epidemiológicos comunitarios y personal de salud de 9 parroquias	2023 Q2, 2024 Q3, 2024 Q4, 2024 Q5	48,780.00	8,000.00	40,780.00
Prog. Output	ACCESS TO H&N SERVICES Aplicados ciclos de mejora continua de la calidad de la prestación del paquete priorizado para prevenir la DCI y fortalecer las capacidades del personal de salud en 11 E.S.		70,920.00	12,000.00	58,920.00
Activity	Implementar y monitorear los procesos de ciclo de mejora continua para la prevención y reducción de la DCI en 11 Establecimientos de Salud	2023 Q1, 2023 Q2, 2024 Q3, 2024 Q4, 2024 Q5	46,510.00	8,000.00	38,510.00
Activity	Capacitar al personal de 5 E.S del cantón Alausi y 6 E.S del cantón Riobamba en competencias para la reducción y prevención de la DCI	2023 Q2, 2024 Q3	24,410.00	4,000.00	20,410.00

Prog. Output	ACCESS TO H&N SERVICES Implementada la estrategia de comunicación para el cambio social y de comportamiento (SBC) en dos cantones y ocho parroquias		110,020.00	13,000.00	97,020.00
Activity	Elaborar una línea base sobre conocimientos, actitudes y prácticas de la crianza de los niños y niñas en su primera infancia en 8 parroquias	2023 Q1, 2023 Q2	33,240.00	5,000.00	28,240.00
Activity	Elaborar, implementar planes locales SBC en 8 parroquias de los cantones de Alausí y Riobamba y diseñar una propuesta de seguimiento.	2023 Q2, 2024 Q3, 2024 Q4, 2024 Q5	76,780.00	8,000.00	68,780.00
Prog. Output	Effective and efficient programme management		48,616.00	28,800.00	19,816.00
Activity	In-country management & support		27200	14400.00	12800.00
Activity	Operational costs		21,416.00	14,400.00	7,016.00
Activity	Planning, monitoring, evaluation, and communication		0.00	0.00	0.00
Sub-total for programme costs			364,746.00	77,800.00	286,946.00
Capacity Strengthening Costs			20,086.22		20,086.22
Total Cash budget			384,832.22	77,800.00	307,032.22

Supply Contribution Plan		
Provided by	Item	Total Price
	No. of units	Price/unit
Total supply Cost		0.00

Others

	<p>El Laboratorio de Políticas Comparadas hace parte del Departamento de Asuntos Públicos de FLACSO. Desde el año 2015 hemos impulsado proyectos, consultorías e iniciativas colaborativas con entidades públicas, organismos de cooperación internacional y academia. El objetivo principal es acercar el conocimiento académico y la evidencia a la toma de decisiones. En esa línea, hemos generado proyectos de trabajo directamente relacionados con el combate a la DCI, a saber: el Proyecto VP042 FLACSO-UNICEF (2022-2023) mediante el que se buscó aportar al fortalecimiento de capacidades para la gestión local y transferencia de estrategias basadas en evidencia, mediante la implementación conjunta de herramientas que contribuyan a prevenir la desnutrición infantil. Específicamente, trabajamos en la aplicación de la “Guía Metodológica para la conformación de mesas intersectoriales”, la “Guía metodológica para incrementar la eficiencia en la entrega del paquete de prestaciones priorizadas”, la “Herramienta de valoración de calidad del Paquete Priorizado (HECAPP)” y la “Estrategia general de educción bajo el enfoque C4D” (STECSDI 2021).</p> <p>En esta nueva propuesta seleccionada por UNICEF, el aporte no financiero nuestro será continuar la línea de trabajo que se enmarca dentro de iniciativas nacionales existentes; de esta forma, queremos contribuir de manera efectiva a los esfuerzos gubernamentales para fortalecer las capacidades de los actores locales del cantón Alausí y así aportar a mejorar su gestión en el combate a la DCI. Esto posibilitará el desarrollo de un enfoque integral y un compromiso con la colaboración entre los diferentes actores involucrados en la lucha contra la DCI, lo que aumentará la posibilidad de lograr resultados sostenibles y duraderos en beneficio de la población vulnerable.</p> <p>En ese orden de ideas, buscamos formular una Estrategia local de apoyo a la formación profesional de tomadores de decisión alrededor del combate a la DCI, en Alausí, así como coordinar y articular con los actores locales y nacionales; UNICEF y las organizaciones de la sociedad civil, la implementación de esta Estrategia local.</p> <p>El conocimiento empírico que generará el proceso de diseño de la estrategia es fundamental en varios sentidos. Uno de ellos, es que con la información que se produzca podrán enriquecerse los planes de estudio y líneas de investigación de carreras relacionadas con la problemática de la DCI (salud pública, medicina, enfermería, entre otras).</p> <p>Para que esto sea posible, los acuerdos ya establecidos desde FLACSO con Instituciones de Educación Superior cercanas a la comunidad son fundamentales (UNACH). Por otro lado, la experiencia y los resultados de nuestro aporte no financiero serán útiles como referencia y herramienta práctica para la toma de decisiones en contextos similares tanto dentro del país como en la región.</p> <p>Concretamente, hemos planificado generar los siguientes insumos:</p> <ul style="list-style-type: none"> a) Material pedagógico sobre diseño de políticas de DCI, para el fortalecimiento de capacidades del GAD Alausí y los GAD parroquiales. b) Material académico: Documento inicial de recomendaciones para la articulación entre los actores locales en el combate a la DCI (policy paper). c) Material académico: Guía práctica de diseño de políticas públicas, para el combate a la DCI. <p>Estas actividades se han de llevar a cabo por parte del equipo del Laboratorio de Políticas Comparadas, concretamente por 2 personas investigadoras que participarán en el proyecto durante los 12 meses de ejecución con una dedicación de aproximadamente el 40% de su jornada. Esta aportación se valora en 25.000 dólares de acuerdo a lo reflejado en el presupuesto.</p>
Partner non-financial contribution	
Cash Transfer modality	Direct Cash Transfer, Reimbursement
Activation Protocol	None

Signatures and date

CSO Authorized Officer: Signature:  Date: 28 AGO 2023	UNICEF Authorized Officer: Signature:  Date: 28/08/23
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Signatures and date	
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Standard Terms for Activities Involving Children and Young People¹

1. **Guiding Principles.** The Parties agree to use all reasonable efforts to ensure that the agreed activities are risk assessed, planned, developed and implemented in accordance with the following guiding principles:²

1.	Transparent and informative	Children and young people must be provided with full, accessible, diversity-sensitive and age-appropriate information about their right to express their views freely and their views to be given due weight, and how this participation will take place, its scope, purpose and potential impact.
2.	Voluntary	Children and young people should never be coerced into expressing views against their wishes and they should be informed that they can cease involvement at any stage.
3.	Respectful	The views of children and young people have to be treated with respect and they should be provided with opportunities to initiate ideas and activities.
4.	Relevant	The issues on which children and young people have the right to express their views must be of real relevance to their lives and enable them to draw on their knowledge, skills and abilities. In addition, space needs to be created to enable children and young people to highlight and address the issues they themselves identify as relevant and important.
5.	Child-friendly	Environments and working methods should be adapted to children's and young people's capacities. Consideration needs to be given to the fact that children and young people will need differing levels of support and forms of involvement according to their age and evolving capacities.
6.	Inclusive	Participation must be inclusive, avoid existing patterns of discrimination, and encourage opportunities for vulnerable and marginalized children and young people, including all genders, to be involved.
7.	Supported by training	Adults need preparation, skills and support to facilitate children's and young people's participation effectively, to provide them, for example, with skills in listening, working jointly with children and young people and engaging them effectively in accordance with their evolving capacities.
8.	Safe and sensitive to risk	Adults have a responsibility towards the children, adolescents and young people with whom they work and must take every precaution to minimize the risk to them of violence, exploitation or any other negative consequence of their participation. Children and young people must be aware of their right to be protected from harm and know where to go for help if needed.

¹ This is applicable to people from 0 to 24 years old. UNICEF mandate covers both the first (0-9) and second decade (10-18) of age. Although the term "children" refers to "people under the age of 18", the formula used in UNICEF SP (2022-2025) is "children and adolescents" which underlines the importance of a specific focus on adolescence and its specific needs. In this document, since UNICEF often works with young people (10-24) as agents of change, the formula "children and young people" is used in the place of "children, adolescents and young people" for simplicity.

² Source: Committee on the Rights of the Child, 2009, *General Comment No. 12 The Right of the Child to be Heard*, CRC/C/GC/12, para.134.

9.	Accountable	A commitment to follow-up and evaluation is essential. For example, in any research or consultative process, children and young people must be informed as to how their views have been interpreted and used and, where necessary, provided with the opportunity to challenge and influence the analysis of the findings.
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2. **Conduct and Training.** Facultad Latinoamericana de Ciencias Sociales FLACSO, Ecuador confirms that its personnel, associates, and their personnel and associates, including contractors and sub-contractors, engaging with or otherwise coming into contact with children or young people in the agreed activities (including direct contact and online activities and those involving children’s data):

- a) Will respect the local laws, customs, and any other safeguarding requirements confirmed by UNICEF to be relevant to the engagement and conform to a high standard of moral and ethical conduct with regards to the safeguarding and protection of children, and young people.
- b) Have (i) satisfactorily completed the [UNICEF Prevention of Sexual Exploitation and Abuse \(PSEA\), Child Safeguarding](#) [and *include any other relevant training*] trainings (or equivalent training as required by their own organisation), and (ii) signed the Code of Conduct attached to this Agreement as Annex. FLACSO, Ecuador will select personnel and associates that are fit to work with children and young people and ensure that each comply with the Code of Conduct.

3. **Reporting.** The FLACSO Ecuador will ensure that appropriate and effective channels for raising concerns and reporting are in place. If FLACSO, Ecuador becomes aware of any violation of the Code of Conduct, or any sexual exploitation or abuse, child safeguarding or other similar concerns, it will immediately report this to UNICEF. The Parties will consult without delay and work together to address the violation or concern, including any necessary provision of assistance to a child or young person and, as relevant, the removal of individuals, from contact with affected persons. Reports should be made in accordance with the General Terms and Conditions confidentially, and to either the UNICEF signatory of the contract or the UNICEF Office of Internal Audit and Investigations (integrity1@unicef.org). In that regard, if such report involves a member of FLACSO’s personnel or associates, UNICEF may require that such person is suspended from participation in the Project which will be respected by the FLACSO, Ecuador.

On-site responsibilities. With respect to any on-site opportunities for children or young people provided by FLACSO, Ecuador as part of the PD ECU/PCA202371/PD2023168; “Implementación de un modelo de gestión local para aportar a la estrategia de reducción de la desnutrición crónica infantil en parroquias y cantones seleccionados de la provincia de Chimborazo”, FLACSO Ecuador will be responsible for:

- a) Assessing and managing risks associated with where, how and whom children or young people are engaged in activities (whether in person or online, including the use of data), and how such risks will be mitigated;
- b) providing a safe, inclusive and supervised³ working environment free from harassment, discrimination or prejudice, including space for children or young people’s views and feelings to be heard and seriously considered; and

³ ‘Supervised’ means that the supervisor works within close proximity of the child or young person and that they have easy and regular access to their supervisor.

- c) making and maintaining all appropriate security, health and safety, data privacy and insurance arrangements for all the children or young people engaged in the internship and other opportunities offered by FLACSO, Ecuador under this Agreement.

4. **Images and Stories.** If FLACSO, Ecuador wishes to collect and/or use images (photo/video) or personal stories of children and/or young people as part of this Agreement in its communications (whether internal or external, online or otherwise), it will first discuss and agree with UNICEF on the parameters and conditions prior to doing so, including, on any safeguarding measures such as the protection of the person's identity as necessary (based on a risk assessment and in consultation with UNICEF). In all cases, FLACSO, Ecuador will be responsible for ensuring that:

- a) all relevant consents and releases of each child or young person (through their guardian, if applicable) is obtained in advance, including for the proposed uses of such images and stories. Such consent must be freely given, specific and informed and without any coercion.⁴ FLACSO, Ecuador will also be responsible for complying with all applicable laws in respect of the collection and processing of such imagery and data protection;
- b) all capture and uses of such images and stories comply with UNICEF's [Standard on child safeguarding in multimedia – photo and video](#); [Standard on Child Safeguarding in Corporate Partner Image Use](#); [Standard on Child Safeguarding in Media Engagement and Interactions](#); and Guidelines [Respectful Child Imagery: Avoiding Harmful Stereotypes](#);
- c) all images, and uses of such images, remain positive and respectful in accordance with the guidance on exclusionary and sensitive images, design, and copy; and
- d) images and stories of children or young people that are part of these activities are not used to promote or endorse FLACSO, Ecuador's products and services.

For the purposes of this Section (4), the term "images" means all visual forms of representation of a person or group of persons, including, but not limited to, photographs and videos.

5. **Personal Data Protection.** UNICEF recognizes that FLACSO, Ecuador may need to collect and use certain personal attributes (e.g., personal information) on and about the children or young people to fulfil the purposes of agreed activities. Such personal attributes may include name, phone number, age, where they live, parental contact information, etc. FLACSO, Ecuador confirms that they will only collect and further use information that is necessary for fulfilment of specified agreed activities, in line with any identified child safeguarding requirements and that they have in place appropriate measures to keep this personal information safe and confidential. Each of the Guiding Principles stipulated in this Agreement apply equally to the collection, handling and protection of children and young people's personal information. In all cases, FLACSO, Ecuador will be responsible for ensuring that:

- a) the need and purpose for the collection of children or young people's data is explicitly clarified and the processes for collecting such data does not cause a significant risk of harm to the individuals;
- b) all relevant and transparent privacy notices are provided and assents and consents of each child or young person is obtained, through their guardian if applicable, in advance of the collection of personal information. Consent must be freely given, specific and informed and

⁴ See UNICEF [Policy on Personal Data Protection](#) for further information on consent

without any coercion. FLACSO, Ecuador will also be responsible for complying with all applicable laws, including in relation to data protection, in respect of the collection and processing of personal information;

- c) FLACSO, Ecuador personnel and associates shall only request access to children or young people's personal information when it is essential to the performance of the activities, and it will be strictly on a need-to-know basis, and such access will be appropriately reviewed and monitored;
- d) In the event that child or young person and or guardian requests to delete their personal data, or when the processing of the personal information is no longer necessary for the performance of the agreed activities, FLACSO, Ecuador will cease using any personal data and/or content containing personal information provided to FLACSO, Ecuador within a reasonable time period and erase all records of the same, including without limitation erasing the personal data and/or content containing personal data from any 'live' public sites and platforms;
- e) all sharing of children or young people's personal information with FLACSO, Ecuador associates, service providers or other third parties will be restricted to the purposes the information was originally collected for unless additional consent has been obtained from the children or young people. FLACSO, Ecuador is responsible for ensuring that any third party with access to children or young people's information are held to the same high standards in their handling and protection of this information as is FLACSO, Ecuador; and
- f) FLACSO, Ecuador will not sell children or young people's personal information or use it to promote or endorse FLACSO, Ecuador products and services.

****ENDS****

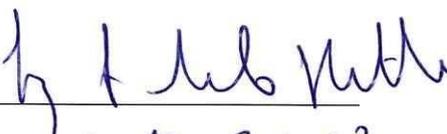
For Partners:

Name: Felipe Burbano de Lara
Title: Director FLACSO - Ecuador

Signature:  _____

For United Nations Children's Fund:

Name: Luz Ángela Melo
Title: Representante

Signature:  _____
Apr 15 2023

**TEMPLATE DATA PROCESSING AGREEMENT FOR IMPLEMENTING PARTNER
(ONLY FOR USE WITH PARTNER THAT HAS SIGNED A PCA WITH UNICEF)**

INSTRUCTIONS

Scope: This template is for a Data Processing Agreement when an Implementing Partner (Partner) that has signed a Programme Cooperation Agreement (PCA) with UNICEF will be receiving access to personal data or collecting personal data as part of the Partner's work under a Programme Document with UNICEF. This Agreement is a supplemental agreement to the PCA and the Programme Document and does not replace the UNICEF PCA General Terms and Conditions. All UNICEF offices can use this new template.

Audience: The UNICEF Project Manager (referred to as "you" in these instructions) who is coordinating the sharing or processing of the personal data with the Partner is responsible for completing this template in accordance with the instructions. This Agreement needs to be cleared and signed by the same UNICEF staff that signed the underlying PCA and Programme Document with the Partner.

Applicable Policies: Please continue to comply with all applicable UNICEF policies and clearance requirements, including the DAPM [Procedure on Programme Implementation](#) and Instruction Handbook, [UNICEF Procedure for Ethical Standards in Evidence](#), [UNICEF Policy on Personal Data Protection](#). This template does not replace those policies, nor does it address all policy areas that may be relevant in a particular case.

Legal Clearance: This template is comprised of two sections which together are the legally binding Data Processing Agreement:

- Letter Agreement (i.e. the first part of this document)
- Annex – Data Processing Details (i.e. the second part of this document)

You may modify the Annex without obtaining Legal Office clearance, but you cannot modify the Letter Agreement without Legal Office clearance. If you wish to make modifications to the Letter Agreement, please contact the DAPM Data Protection Team through the [Evidence Helpdesk](#), who will coordinate with and seek clearance from the Legal Office.

When completing the template:

- ✓ Be sure to know **what personal data sets** or **components of personal data sets** are legitimately required by the Partner and **for what specific purpose**. Check for each personal data point, whether it is necessary to reach the specified purpose.
- ✓ Be sure to have a **legitimate basis** for the processing of the personal data in accordance with Section 15 of the UNICEF Policy on Personal Data Protection.
- ✓ Dealing with **particularly sensitive personal data** requires appropriate safeguards and, if likely causing high risks, a **Data Protection Impact Assessment** under the UNICEF Policy on Personal Data Protection (Section 12).
- ✓ If you intend to provide personal data received from a third party, like a Government, to the Partner, ensure that such third party has authorized such data transfer **before you begin**.
- ✓ If the data processing is being done as part of an evidence project outside of routine programme data collection you must undertake a **formal ethical review** if the project meets the criteria outlined in the [UNICEF Procedure for Ethical Standards in Evidence](#).
- ✓ If you wish to include any additional legal terms (e.g. on intellectual property, termination etc.), these need to be discussed with, and cleared by, the Legal Office (see above).
- ✓ **Ensure that the entire document is internally consistent** – between the Letter Agreement and the Annex. When you make modifications to the Annex, check that all elements of the Letter Agreement still make sense.
- ✓ **And remember: COMPLETE all fields and DELETE all instructions before** sharing the document with the Partner.
- ✓ **Guidance, questions and sharing best practice:** For any questions about how to use this template or for support in completing the template (including suggested Additional Security Measures for personal data and other highly sensitive data), please contact the [Evidence Helpdesk](#) or via email at dataforchildren@unicef.org.

OWN IT, PRACTICE IT! Remember, it is your responsibility to set this agreement up correctly and implement and monitor compliance. This is not just a form filling exercise.

- Be familiar with the agreement (and the reasons for UNICEF's positions) so you can make the Partner understand its obligations too.
- Don't leave it until the end to prepare this agreement. If you know you're going to need to share Personal Party Data with the Partner, deal with this at the same time as you establish the PCA with the Partner.
- Make sure you write down the access details accurately.
- Take care and pay attention to all the instructions and details.
- Read it through - does it make sense? Would someone else be able to pick this up,

UNICEF ECUADOR

24.08.2023

Facultad Latinoamericana de Ciencias Sociales – Sede Ecuador
Pradera y Diego de Almagro

PCA: Implementación de un modelo de gestión local para aportar a la estrategia de reducción de la desnutrición crónica infantil en parroquias y cantones seleccionados de la provincia de Chimborazo;
Programme Document Title: PD ECU/PCA202371/ **eTools Reference N.**PD2023168

Collection of, Access to and Processing of Personal Data - Confidentiality Obligations

Dear UNICEF ECUADOR

We refer to the Programme Cooperation Agreement (“PCA”) and Programme Document (“PD”) identified in the Annex to this Agreement between the United Nations Children’s Fund (“UNICEF”) and your organization, Facultad Latinoamericana de Ciencias Sociales – Sede Ecuador; (the “Implementing Partner”, “Partner” or “you”). To facilitate the fulfillment of your responsibilities under the PCA and the implementation of the parts of the Programme assigned to you under the PD, UNICEF instructs you to collect, access, use and/or otherwise process (collectively, “processing”) certain personal data specified in the Annex to this Agreement (collectively, the “Data Sets”).

This letter (together with the Annex attached to this letter, this “Agreement”) is to confirm that UNICEF instructs you to process the Data Sets on the conditions set out below in this Agreement and in line with any additional documented instructions by UNICEF. All capitalized terms used but not defined in this Agreement have the meaning assigned to them in the Annex to this Agreement or in the PCA. The terms contained in this Agreement are in addition to, and not in replacement of, the terms of the PCA and PD. This Agreement, the PCA and PD will be construed and interpreted as complementary of one another.

1. Data Rights. You accept and acknowledge that the Data Sets are proprietary to UNICEF or the relevant third parties identified in the Annex to this Agreement (collectively, the “Third Parties”) as identified in the Annex and that you will not claim any ownership or any other rights in the Data Sets.
2. Confidential Information. For the purposes of Section 8.1 of the General Terms and Conditions of the PCA, the Data Sets are deemed to be UNICEF’s confidential information and all of the obligations in respect of such confidential information set out in Section 8 of the General Terms and Conditions of the PCA apply to your processing of the Data Sets. You will take the Additional Security Measures described in the Annex to this Agreement.
3. Filing System and Access. Your processing of the Data Sets is limited to the Data Sets. For Data Sets that you will be collecting, you will collect, store and otherwise process the Data Sets through the Filing System described in the Annex to this Agreement. For Data Sets that you will be provided access to, UNICEF will make the Data Sets available to you through the Access Modality described in the Annex to this Agreement. To the extent that such access is provided through access credentials (such as password protected means), you agree to treat all such access credentials as Confidential Information and to take all reasonable precautions to

prevent unauthorized or accidental disclosure of such access credentials. You will not share such access credentials with any person except your Authorized Data Processor Personnel.

4. Permitted Purpose Only. You will only use the Data Sets for the Permitted Purpose specified in the Annex to this Agreement and no other purpose. You will not use the Data Sets, and you will ensure that your Authorized Data Processor Personnel and Authorized Data Sub-processors (if any) do not use the Data Sets, for any other purposes, including (but not limited to) developing analyses, publications, product or service offerings to customers or other third parties, without the express prior written consent of UNICEF and, if relevant, the Third Party holder of the Data Sets.
5. Authorized Data Processor Personnel. Access to the Data Sets under this Agreement is limited exclusively to your Personnel that need to be involved for purposes of performing obligations under this Agreement ("Authorized Data Processor Personnel"). You will keep a list of your Authorized Data Processor Personnel that has access to the Data Sets and you will share that list upon request with UNICEF. You will be responsible for the compliance by your Authorized Data Processor Personnel with the conditions on access set out in this Agreement.
6. Authorized Data Sub-processor. Only those of your sub-contractors or affiliates that are approved by UNICEF and that sign a separate agreement with you substantially consistent with the terms of this Agreement can receive access to the Data Sets ("Authorized Data Sub-processor"). You will impose the same requirements relating to data protection and non-disclosure as are imposed upon you under this Agreement to the Authorized Data Sub-processors and you will remain responsible for compliance with such requirements by such Authorized Data Sub-processors.
7. Compelled Disclosure. If you or any of your Authorized Data Sub-processors receive a request for disclosure of any of the Data Sets under any judicial or law enforcement process, before you make the requested disclosure, you will give UNICEF (to the UNICEF Data Manager identified in the Annex) sufficient notice of the request prior to any disclosure being made in order to allow UNICEF to have a reasonable opportunity to take protective measures or take such other action as may be appropriate and will notify the relevant authority of this.
8. Data Protection Standards. You confirm that you have a data protection policy in place that is consistent with UNICEF's Policy for Personal Data Protection and meets all applicable data protection standards and legal requirements and that you have uploaded it on your UN Partner Portal profile. You will apply such policy in the storage, processing, retention and destruction of the Data Sets. If you do not have your own data protection policy in place or if your policy does not meet at least the protection standards of UNICEF's Policy for Personal Data Protection, you will comply with and apply UNICEF's Policy for Personal Data Protection in the performance of your obligations under this Agreement.
9. Safeguards and Control of Data. You will implement appropriate organizational, administrative, physical and technical safeguards and procedures to protect the security of the Data Sets, including against or from accidental or unauthorized destruction, loss, alteration, disclosure, access, or unplanned loss of availability in line with Annex 3.
10. Security Incident. You will immediately notify UNICEF (to the UNICEF Data Manager identified in the Annex) if there is any actual, suspected or threatened unauthorized or accidental disclosure of the Data Sets or other Security Incident affecting the Data Sets and you will implement all necessary damage mitigation and remedial actions as per your security incident

management procedure and UNICEF's instructions. UNICEF will report the incident through the required channels in accordance with the UNICEF Procedure for Personal Data Breach. For these purposes, "Security Incident" means a breach of security leading to the accidental, or unauthorized destruction, loss, alteration, disclosure, access, or unplanned loss of availability of personal data processed or stored by the Partner.

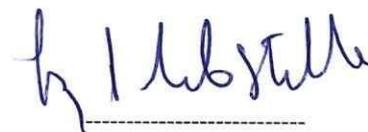
11. Access Period; Termination. The access and permission given to you under this Agreement is limited to the Access Period. Unless otherwise expressly stated in the Annex, the Access Period is defined as the duration of the relevant Programme Document. UNICEF may, but is not obliged to, agree to extend the Access Period upon your request. If you or any of your Authorized Data Processor Personnel or Authorized Data Sub-processors breach any of the conditions set out in this Agreement, UNICEF may terminate your access to the Data Sets and withdraw its permission for you to continue to process the Data Sets with immediate effect. UNICEF may also terminate the PCA in accordance with Section 13.1 of the General Terms and Conditions of the PCA.
12. Data Subject's Right to Information and Access Requests. If you or any of your Authorized Data Sub-processors receive a request from a data subject to access, rectify or delete its personal data or obtain information about the data processing, you will immediately notify UNICEF (to the UNICEF Data Manager identified in the Annex) of such request. You will provide your reasonable cooperation to UNICEF to address such requests in accordance with the mechanisms set out in Annex 2 of the UNICEF Policy on Personal Data Protection.
13. Return / Destruction of Data. Unless otherwise expressly indicated in the Annex to this Agreement, when the Access Period ends, you will transfer and return to UNICEF all the Data Sets, or, at UNICEF's option, irrevocably destroy by applying industry standards all copies of the Data Sets held by you and your Authorized Data Processor Personnel or Authorized Data Sub-processors and confirm such destruction to UNICEF in writing. For the avoidance of doubt, the requirement to destroy the Data Sets does not apply to Data Sets held within a UNICEF Filing System.
14. Survival of Obligations. Your obligations under this Agreement will survive the expiry of the Access Period or the earlier termination of this Agreement or the expiry or earlier termination of the PCA.
15. Disagreements. Any disagreements or conflicts relating to this Agreement or the Data Sets will be addressed in accordance with Article 12 of the PCA.

Nothing in or related to this Agreement will be treated as a waiver of the privileges and immunities of the United Nations and its subsidiary organs, including UNICEF.

Please confirm your agreement with the conditions set out in this Agreement, on behalf of your organization, by signing, dating, and returning to us the enclosed copy of this Agreement. As soon as we receive the copy of this Agreement countersigned by you, this Agreement will come into effect.

We look forward to working with you for the implementation of the Programme.

Yours sincerely,



Luz Ángela Melo
Representante Unicef Ecuador

Agosto 28/23

CONFIRMED AND AGREED ON BEHALF OF [FLACSO ECUADOR]:



28 AGO 2023

Date: _____

Name: Felipe Burbano de Lara
Title: Director

ANNEX 1
Personal Data Processing Details

[Instruction: Before entering into this Data Processing Agreement, you need to ensure that the personal data processing of the Implementing Partner for the purposes of the project complies with the UNICEF Policy for Personal Data Protection. If UNICEF acts as controller and the processing is likely to involve high risks to the rights and freedoms of the data subjects, in particular when new technologies are involved, a Data Protection Impact Assessment (DPIA) must be conducted prior to the processing. Even if you are not obliged under the Policy to conduct a DPIA, you may conduct such assessment. Risk assessment findings provide valuable information regarding appropriate project mitigations and safeguards to be implemented to ensure compliance with UNICEF's Policy on Personal Data Protection. You will find guidance on the DPIA on the data protection website of Data for Children or you can request support through the [Evidence Helpdesk](#)]

This Annex applies to, and forms an integral part of, the Data Processing Agreement between UNICEF and the Partner named below under the Programme Cooperation Agreement (PCA) referenced below.	
1. OVERVIEW	
Partner	Facultad Latinoamericana de Ciencias Sociales, Ecuador Pradera y Diego de Almagro
PCA	PD ECU/PCA202371/PD2023168
Programme	Implementación de un modelo de gestión local para aportar a la estrategia de reducción de la desnutrición crónica infantil en parroquias y cantones seleccionados de la provincia de Chimborazo.
Partner Data Protection Policy	NA
UNICEF Data Manager	Katherine Silva, Oficial de Salud y Nutrición
Partner Data Manager	Verónica Legarda/Guillaume Fontaine veronicalegarda@gmail.com/gfontaine@flacso.edu.ec
Access Period	From October 2023 to July 2024
UNICEF Ethical Review Committee/Board	N/A

2. DATA SETS	
<u>[Instructions:</u>	
For each data set, fill out the required information below. Add more lines below depending on how many data sets are involved. The processing of personal data must be relevant, limited and adequate to what is necessary in relation to the purpose(s) specified for processing. So, it is important to only share the data that the Partner actually needs to do its work under the Programme. In some cases, this may only be a subset of an original data set. If UNICEF is sharing data that we received from a third party (e.g. the government, private sector partner or another implementing partner), then make sure you have the required permission to share that data and that any conditions/restrictions on sharing are appropriately reflected in this Annex. Include a detailed description of each data set or components of data sets]	
DATA SET #1	
Data Set Originator	The data will be collected by FLACSO in the localities that will be intervened. Besides, for data management, the FLACSO team will use Google Drive, which will be managed through institutional email accounts. This Drive folder will be linked to the Blackboard platform, which is the platform used by our institution.
Description of Data Set	The FLACSO team will gather data regarding the quality of health services in the targeted communities, as well as information about knowledge, attitudes and practices related to parenting and early childhood. All this information will be collected by hand and subsequently digitalized for processing. Data collection is planned to take place between October 2023 and April 2024.
Permitted Purpose for Data Processing	
Conditions/Restrictions on Data Processing	
Access Modality	
Filing System	
DATA SET # 2	
Data Set Originator	N/A
Description of Data Set	
Permitted Purpose for Data Processing	

Conditions Restrictions on Data Processing	
Access Modality	
Filing System	
3. DATA COLLECTION [Instructions: if the Partner will not collect new Personal Data as part of the work under the Programme, please delete this section] [Instruction: If CONSENT is not the legitimate basis for data collection and processing, please contact the Evidence Helpdesk]	
Consent Form	<p>The legal basis for collecting new Personal Data will be: CONSENT.</p> <p>After having provided the required information to the data subjects in line with the next section, the Partner will ensure that appropriate consent forms are obtained by it for each data subject for which the Partner will collect data.</p> <p>The consent form to be used for the processing of data under the programme [has been approved by UNICEF and the Partner and is attached as Annex 2] OR [is subject to UNICEF's approval before use].</p> <p>The consent form will clearly indicate that the Partner is collecting the data on behalf of UNICEF and that the data will be shared by the Partner with UNICEF [and the Government] only for the Permitted Purpose.</p> <p>The consent form will be collected and stored as follows: <i>[specify whether it is paper form, mobile/tablet/other device, etc.]</i></p> <p>[Consent will be recorded in a secure Filing System.]</p> <p>If a data subject raises concerns of providing his/her data and consent, the Partner will not try to convince the individual to provide the consent, but instead inform that UNICEF takes any concerns seriously and will provide professional support and immediately notify UNICEF.</p>
Information about the Processing of Personal Data (Privacy Notice)	<p>When collecting Personal Data, the Partner will inform the individuals (data subjects) about the personal data processing, in line with Annex 2, including</p> <ul style="list-style-type: none"> - the legal basis for processing their data (CONSENT) - The alternatives, if a beneficiary does not want to provide his/her consent - the specified purpose for which the data will be used - who will obtain access to the data - what measures are taken to keep the data secure - when the data will be deleted and - what rights the individual has on the data, namely right to access, rectify and delete the data and where to request these rights.
4. SECURITY MEASURES	

Additional Security Measures	
4. DATA TRANSFER, RETENTION, AND DESTRUCTION	
Transfer of Data Sets	
Retention / Destruction of Data	

ANNEX 2
Form of Consent to be provided by Data Subjects

Consentimiento informado

Yo,, con número de CC....., como paciente, o como representante de....., en pleno uso de mis facultades, libre y voluntariamente declaro haber sido informada/o por el personal que el establecimiento de salud está realizando actividades para mejorar la calidad de la atención que brindan a sus pacientes/usuarios.

Se me ha explicado que, para dicho fin están acompañando al paciente/usuario/ representante y realizando observación de la atención recibida en las diferentes áreas o servicios del establecimiento de salud, desde el momento de su llegada hasta su finalización.

Con esos antecedentes, autorizo que me acompañen durante el tiempo que estoy en el establecimiento de salud, y se observe la atención que recibo.

Ciudad de....., a..... de.....de 202....

Nombre:

Firma:

Teléfono:

Nombre de solicitante:

Firma de solicitante:

Autorización de Grabación

Yo,....., con número de CC.
....., como paciente/usuario, o como representante
de....., en pleno uso de mis facultades, libre y voluntariamente
declaro haber sido informada/o de que el personal del establecimiento de salud para mejorar la
calidad de la atención que brindan a sus pacientes/usuarios.

Se me ha explicado que están realizando reuniones de 4 a 6 personas (con embarazadas
y madres/padres de niñas y niños menores de 2 años) para identificar dificultades que
enfrentamos las personas en la prestación de los servicios.

Con esos antecedentes, autorizo la grabación de mi participación durante el desarrollo del grupo
focal.

Ciudad de....., a..... de.....de 202....

Nombre:..... Firma.....
Teléfono.....

Nombre solicitante..... Firma solicitante.....

ANNEX 3
Information Security

This Information Security Annex forms an integral part of the Agreement to which it is attached. Any capitalized terms not otherwise defined herein will have the meanings set forth in the Agreement.

In addition to requirements set forth in the Agreement, the Implementing Partner will:

1. Comply with UNICEF instructions on IT security and agrees to be subject to UNICEF information security reviews and/or audits, when requested.
2. Possess throughout the term of the Agreement:
 - 2.1. Incident detection measures and ensure incident response processes including its escalation to UNICEF.
 - 2.2. Information Security awareness process to train users according to their roles and responsibilities.
 - 2.3. Appropriate security controls for the processing of Personal Data, as well as sensitive non-personal data, and provide UNICEF upon the signature of the Agreement with a description of such security controls, which shall include at least
 - a) The pseudonymization of Personal Data, secure hosting and encryption of all data;
 - b) The ability to ensure the ongoing confidentiality, integrity, and availability of processing systems and services;
 - c) Basic email security controls to prevent own email domains being spoofed or communication altered in transit;
 - d) A process for regularly assessing vulnerabilities and evaluating the effectiveness of the security controls implemented;
 - e) The regular verification, evaluation and assessment of the security controls. Such measures shall at least include mechanisms to:
 1. Enforce multifactor authentication for any user access to Personal Data;
 2. Ensure encryption of data while in transit;
 3. Ensure organization-owned devices and systems are regularly updated;
 4. Ensure that all files and databases containing UNICEF data are backed up on a daily basis and paper-based information is duly secured in protected premises;
 5. Enforce system access controls, including secure credential management, granting user access while enforcing principle of least privilege, access recertification, revoking user access, administrative access and administrative user access management;
 6. Put physical access controls in place and ensure physical security measures specially targeted to protect paper based Personal Data are in place and aligned with the highest industry standards.